

**Form ADV Part 2B: *Brochure Supplement***

Thomas Bunting III

CRD Number: 7050500

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Winthrop Partners – EPA, LLC

03/30/2020

This brochure supplement provides information about Thomas Bunting III that supplements the brochure for Winthrop Partners – WNY, LLC and its affiliated advisers, Winthrop Partners – EPA, LLC and Winthrop Partners – WPA, LLC (herein, collectively, “Winthrop” or the “Firms”). You should have received a copy of that brochure. Please contact Kyle R. Bubeck by phone at (913) 239-0100, or via email at [krbubeck@beaconcompliance.com](mailto:krbubeck@beaconcompliance.com), if you did not receive Winthrop’s brochure, or if you have any questions about the contents of this supplement.

Additional information about Thomas Bunting III may be available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 Educational, Background and Business Experience**

### **Full Legal Name**

Thomas Bunting III, CPA

### **Date of Birth**

1940

### **Education**

- Temple University; B.S. in Accounting; 1968

### **Business Experience**

- Winthrop Partners - EPA, LLC, Investment Advisor Representative, 2018 - Present
- Thomas Bunting III, CPA, CPA, 2013 - Present
- BBD, LLP, CPA, 1997 - 2012

### **Professional Designations**

- **Certified Public Accountant**

CPA is the statutory title of qualified accountants in the United States who have passed the Uniform Certified Public Accountant Examination and have met additional state education and experience requirements for membership in their respective professional accounting bodies and certification as a CPA. In most U.S. states, only CPAs who are licensed are able to provide to the public attestation (including auditing) opinions on financial statements. The experience component varies from state to state but the majority of states still require work experience to be of a public accounting nature, namely 2 years audit or tax or a combination thereof. Over 40 of the state boards now require applicants for CPA status to complete a special examination on ethics, which is effectively a fifth exam in terms of requirements to become a CPA. CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state.

## **Item 3 Disciplinary Information**

Thomas Bunting III has no reportable disciplinary history.

## **Item 4 Other Business Activities**

## **Investment Related Activities**

- Thomas Bunting III is not engaged in any other investment related business or occupation.

## **Non-Investment Related Activities**

- Thomas Bunting III is the sole proprietor of Thomas Bunting III, CPA, and performs tax services for a limited number of clients as the business winds down. He receives compensation for his services. Mr. Bunting will provide tax advice to Winthrop clients at no charge in his capacity as an associate of Winthrop.

## **Item 5 Additional Compensation**

- Thomas Bunting III does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6 Supervision**

Brian Werner is a Managing Member and the Chief Investment Officer of the Firm. Therefore, if you have any questions or complaints regarding the handling of your account, you may contact Mr. Werner or the firm's Chief Compliance Officer. The contact information for our Chief Compliance Officer is:

**Name:** Kyle Bubeck  
**Title:** Chief Compliance Officer  
**Phone Number:** 913-239-0100  
**Email Address:** [krbubeck@beaconcompliance.com](mailto:krbubeck@beaconcompliance.com)

## **Supervisory Procedures**

Winthrop supervises its personnel to ensure they are suitable to work with clients and provides services and advice consistent with each client's particular investment needs, goals, objectives, and risk tolerance, as well as any restrictions previously requested by the client. Winthrop periodically reviews the advisory activities of Thomas Bunting III, which may include reviewing individual client accounts.

Kyle Bubeck, the Chief Compliance Officer of the firm has the responsibility of developing the compliance program of the firm and for its implementation and on-going oversight.