

Form ADV Part 2B: *Brochure Supplement*

Jennifer D. Roberts, ChFC®

CRD Number: 2490363

295 Main Street | Ste. 840 | Buffalo, NY 14203
(716) 322-7478



Winthrop Partners – WNY, LLC

03/29/2022

This brochure supplement provides information about Jennifer D. Roberts that supplements the brochure for Winthrop Partners – WNY, LLC and its affiliated advisers, Winthrop Partners – EPA, LLC and Winthrop Partners – WPA, LLC (herein, collectively, “Winthrop” or the “Firms”). You should have received a copy of that brochure. Please contact Kyle R. Bubeck by phone at (913) 239-0100, or via email at krbubeck@beaconcompliance.com, if you did not receive Winthrop’s brochure, or if you have any questions about the contents of this supplement.

Additional information about Jennifer D. Roberts may be available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 Educational, Background and Business Experience

Full Legal Name

Jennifer D. Roberts, ChFC®

Date of Birth

1971

Education

- The American College of Financial Services; Chartered Financial Consultant; 2019
- College for Financial Planning; Registered Paraplanner; 1999
- Alfred State College; B.S. in Accounting; 1989

Business Experience

- Winthrop Partners - WNY, LLC, Investment Advisor Representative, 2019 - Present
- Ellevation, Chief Operating Officer, 2017 - 2019
- Winthrop Financial, Vice President, 2012 - 2017
- Harold C Brown & Co., Registered Sales Associate, 2006 - 2011

Professional Designations

- **Chartered Financial Consultant**

The Chartered Financial Consultant (ChFC®) program prepares you to meet the advanced financial planning needs of individuals, professionals and small business owners. You'll gain a sustainable advantage in this competitive field with in-depth coverage of the key financial planning disciplines, including insurance, income taxation, retirement planning, investments and estate planning. The ChFC® requires three years of full-time, relevant business experience, nine two-hour course specific proctored exams, and 30 hours of continuing education every two years. Holders of the ChFC® designation must adhere to The American College's Code of Ethics.

Program Objectives:

- Function as an ethical, competent and articulate practitioner in the field of financial planning;
- Utilize the intellectual tools and framework needed to maintain relevant and current financial planning knowledge and strategies;

- Apply financial planning theory and techniques through the development of case studies and solutions; and
- Apply in-depth knowledge in a holistic manner from a variety of disciplines; namely, estate planning, retirement planning or non-qualified deferred compensation.

- **Registered Paraplanner**

Individuals who hold the RP® designation have completed a course of study encompassing the financial planning process, the five disciplines of financial planning, and general financial planning concepts, terminology, and product categories. Individuals also complete a three-month-long internship. In addition, individuals must pass an end-of-course examination that tests their abilities to synthesize complex concepts and apply theoretical concepts to real-life situations.

Please note that Jennifer D. Roberts's RP® designation status is expired and is no longer supported by the issuing organization, College for Financial Planning. The RP designation is now known as Financial Paraplanner Qualified Professional (FPQP®).

Item 3 Disciplinary Information

Jennifer D. Roberts has no reportable disciplinary history.

Item 4 Other Business Activities

Investment Related Activities

- Jennifer D. Roberts is not engaged in any other investment related business or occupation.

Non-Investment Related Activities

- Jennifer D. Roberts is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of time.

Item 5 Additional Compensation

- Jennifer D. Roberts does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Brian Werner is a Managing Member and the Chief Investment Officer of the Firm. Therefore, if you have any questions or complaints regarding the handling of your account, you may contact Mr. Werner

or the firm's Chief Compliance Officer. The contact information for our Chief Compliance Officer is:

Name: Kyle Bubeck
Title: Chief Compliance Officer
Phone Number: 913-239-0100
Email Address: krbubeck@beaconcompliance.com

Supervisory Procedures

Winthrop supervises its personnel to ensure they are suitable to work with clients and provides services and advice consistent with each client's particular investment needs, goals, objectives, and risk tolerance, as well as any restrictions previously requested by the client. Winthrop periodically reviews the advisory activities of Jennifer D. Roberts, which may include reviewing individual client accounts.

Kyle Bubeck, the Chief Compliance Officer of the firm has the responsibility of developing the compliance program of the firm and for its implementation and on-going oversight.