

Form ADV Part 2B: *Brochure Supplement*

Thomas J. Saunders

CRD Number: 2854359

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Winthrop Partners – WNY, LLC | Winthrop Partners – EPA, LLC | Winthrop Partners – WPA, LLC

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This brochure supplement provides information about Thomas J. Saunders that supplements the brochure for Winthrop Partners – WNY, LLC and its affiliated advisers, Winthrop Partners – EPA, LLC and Winthrop Partners – WPA, LLC (herein, collectively, “Winthrop” or the “Firms”). You should have received a copy of that brochure. Please contact Kyle R. Bubeck by phone at (913) 239-0100, or via email at krbubeck@beaconcompliance.com, if you did not receive Winthrop’s brochure, or if you have any questions about the contents of this supplement.

Additional information about Thomas J. Saunders may be available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 Educational, Background and Business Experience

Full Legal Name

Thomas J. Saunders

Date of Birth

1961

Education

- University of Chicago; AB; 1983

Business Experience

- Winthrop Partners - WNY, LLC, Managing Member, Investment Adviser Representative, 2015 - Present
- Winthrop Partners - EPA, LLC, Co-Managing Member & COO, 2015 - Present
- Winthrop Partners - WPA, LLC, Co-Managing Member & COO, 2015 - Present
- Locust Capital Management, Principal, 2014 - 2016
- First Niagara Bank, Group Manager, 2010 - 2014
- BNY Mellon Corporation, Sales Director, 2009 - 2010

Professional Designations

- **Series 65**

Item 3 Disciplinary Information

Thomas J. Saunders has no reportable disciplinary history.

Item 4 Other Business Activities

Investment Related Activities

- Thomas J. Saunders is not engaged in any other investment related business or occupation.

Non-Investment Related Activities

- Thomas J. Saunders is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of time.

Item 5 Additional Compensation

- Thomas J. Saunders does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Brian Werner is a Managing Member and the Chief Investment Officer of the Firm. Therefore, if you have any questions or complaints regarding the handling of your account, you may contact Mr. Werner or the firm's Chief Compliance Officer. The contact information for our Chief Compliance Officer is:

Name: Kyle Bubeck
Title: Chief Compliance Officer
Phone Number: 913-239-0100
Email Address: [krebubeck@beaconcompliance.com](mailto:krbubeck@beaconcompliance.com)

Supervisory Procedures

Winthrop supervises its personnel to ensure they are suitable to work with clients and provides services and advice consistent with each client's particular investment needs, goals, objectives, and risk tolerance, as well as any restrictions previously requested by the client. Winthrop periodically reviews the advisory activities of Thomas J. Saunders, which may include reviewing individual client accounts.

Kyle Bubeck, the Chief Compliance Officer of the firm has the responsibility of developing the compliance program of the firm and for its implementation and on-going oversight.